FINANCIAL DOCUMENTS CHECKLIST

At Nicole Cole Financial Services Group, LLC, we believe in taking a holistic approach to your financial health. In preparation for your meeting, please upload or bring all applicable documents below:

Insurance

- ☐ Deductible on Health Insurance & Monthly Cost
- ☐ Life Insurance – In-Force Illustration or statement

Expenses

- ☐ 2 months of checking, savings and credit card statements or current budget

Income & Savings

- ☐ Current Salary + Bonus
- ☐ Current Social Security statement
- ☐ Pension Statement
- ☐ Rental Statement
- ☐ Any other income

Assets & Liabilities

- ☐ Market Value of Property (Real Estate, High Value Jewelry, Artwork & Vehicles)
- ☐ Physical address for your home & any rental properties
- ☐ Most recent statements for all financial accounts: 401(K), IRA's, Roth IRA, Individual Investment Accounts)
- ☐ Most recent statement or info on all liabilities (Mortgages, HELOC, Car Loans, Student Loans, etc.)

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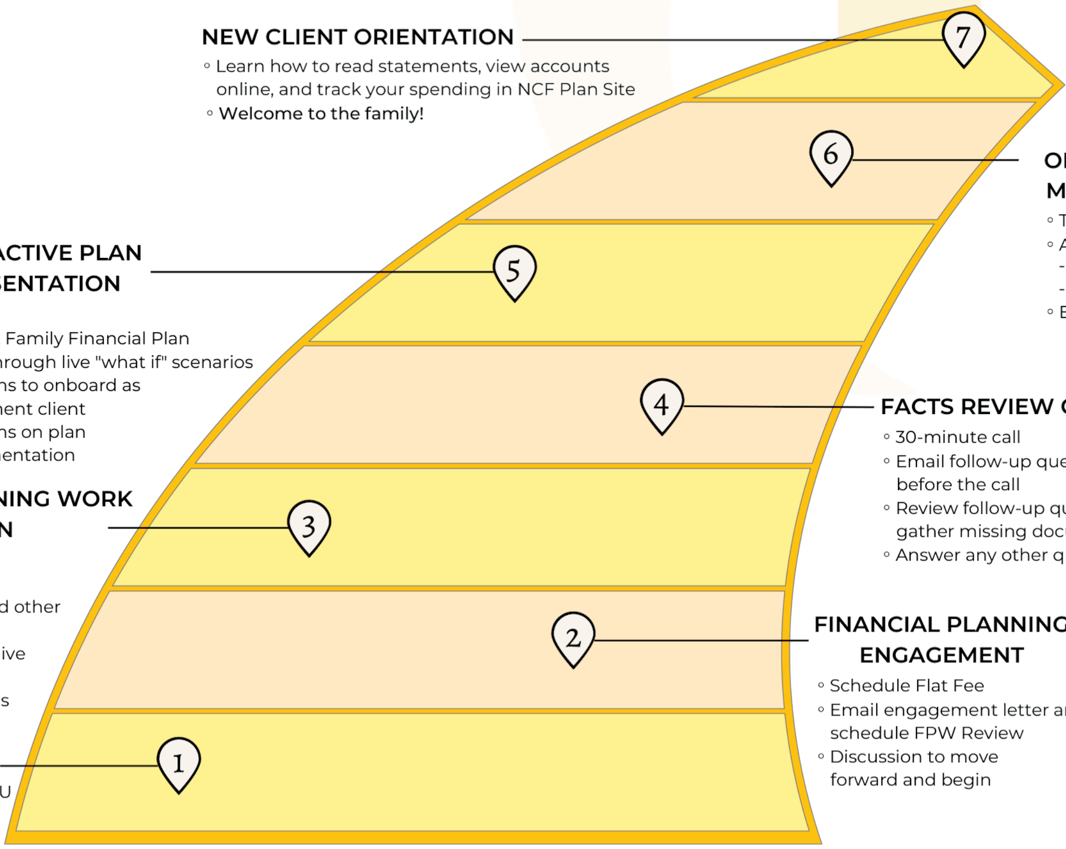
FINANCIAL SERVICES GROUP, LLC

LPL Financial

Your *Financial Journey* begins with a first step



INITIAL CONSULT

- Let us get to know YOU
- Who we are and how we can serve you
- Discussion to move forward and begin

FINANCIAL PLANNING ENGAGEMENT

- Schedule Flat Fee
- Email engagement letter and schedule FPW Review
- Discussion to move forward and begin

FINANCIAL PLANNING WORK SESSION

- 60 minutes
- Review FPWS
- Gather statements and other relevant info
- Write your story and give your money intention
- Goal setting > Concerns > Risk Tolerance

FACTS REVIEW CALL

- 30-minute call
- Email follow-up questions to clients before the call
- Review follow-up questions and gather missing documents
- Answer any other questions

INTERACTIVE PLAN PRESENTATION

- 2 hours
- Present Family Financial Plan
- Work through live "what if" scenarios
- Decisions to onboard as investment client
- Decisions on plan implementation

ACCOUNT ONBOARDING & MONITOR PLAN

- Transfer in accounts
- Annual meetings
  -Portfolio Review
  -Financial Plan update
- Engagement Refresh

NEW CLIENT ORIENTATION

- Learn how to read statements, view accounts online, and track your spending in NCF Plan Site
- Welcome to the family!